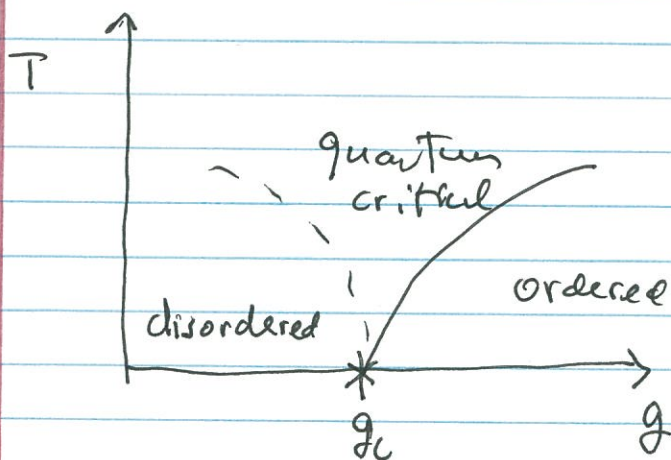


## Phase Transition

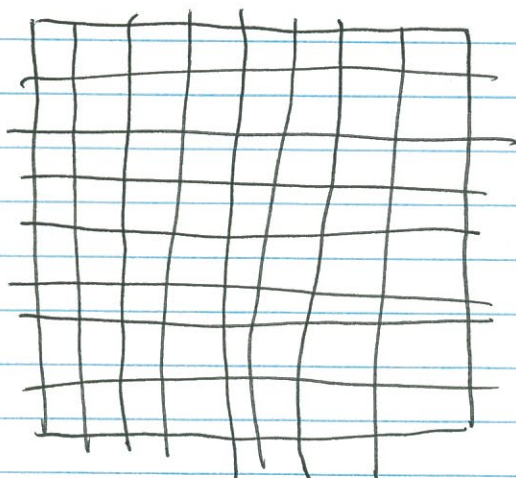


## Classical - Quantum connection

We see that there is a similarity between quantum phase transitions and classical thermal transitions.

Why?

Examine the 2D Ising case



a 2d config. can be regarded as a sequence (evolution) of ~~2d~~ row configs.

row configs  $\Leftrightarrow$  1d quantum states

⇒ the 2D configs are evolutions of new states ~~and~~ and the p.f. is the sum over such histories (evolutions)!

This is a path-integral in discretized (imaginary) time!

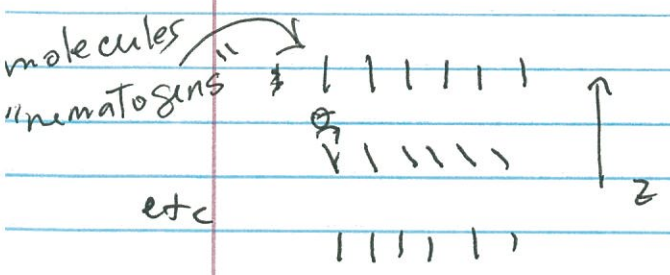
⇒ For simple Stat. Mech. problems which become isotropic at  $T_c$  ⇒ are equivalent to a relativistic quantum

problem in one-dimension (less).

(For a derivation see QFT ch 14)

Is this always true?

No. For example a nematic liquid ~~crystal~~ <sup>crystal</sup>



$$Z = \int D\theta e^{-E[\theta]/T}$$

$$E[\theta] = \frac{1}{2} \int d\tau \int d^2x \left[ \left( \frac{\partial \theta}{\partial \tau} \right)^2 + \kappa (\nabla^2 \theta)^2 + \dots \right]$$

more generally:

$$E(\theta) = \frac{1}{2} \int d\tau \int d^2x \left[ \left( \frac{\partial \theta}{\partial \tau} \right)^2 + \rho (\nabla^2 \theta)^2 + \kappa (\nabla^2 \theta)^2 + \dots \right]$$

If  $\rho > 0 \Rightarrow (\nabla^2 \theta)^2$  is irrelevant ("nematic phase")

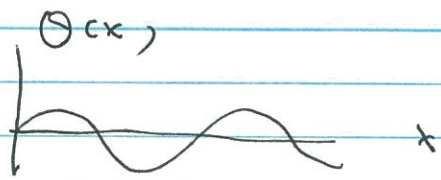
If  $\rho < 0 \Rightarrow \theta(x)$  becomes modulated ("smectic phase")

Why: in momentum space (in x-y plane)

$$-\rho |k^2| |\theta(k)|^2 + \kappa k^4 |\theta(k)|^2$$

has a minimum at  $\kappa |k_0|^2 = |\rho|$

$$\theta(x) = \tilde{\theta}(x) e^{i \vec{k}_0 \cdot \vec{x}} + c. c$$



Transition from isotropic  $\Rightarrow$  modulated

Lifshitz transition at  $\rho = 0$

Quaternary equivalent

$$H = \int d^2x \left( \frac{1}{2} \tilde{u}^2 + \frac{\kappa}{2} (\nabla^2 \theta)^2 \right)$$

"Quaternary Lifshitz"

$$\omega(k) = \# |k|^2 \Rightarrow \text{dynamic crit. exp. } z=2$$

## General Picture (Landau)

\* Global symmetry that is spontaneously broken. (SSB)

\* SSB states are labelled by ~~the~~ local order parameters  $\phi(x)$  (~~observable~~ observables that transform under the symmetry)

In fact Landau (and Ginzburg) proposed a simple phenomenological theory. They postulated that the free energy has the form (D dimensions)

$$F = \int dx^D \left[ \frac{1}{2} (\nabla \phi)^2 + \frac{m^2}{2} \phi^2(x) + \frac{u}{4} \phi^4(x) + \dots \right]$$

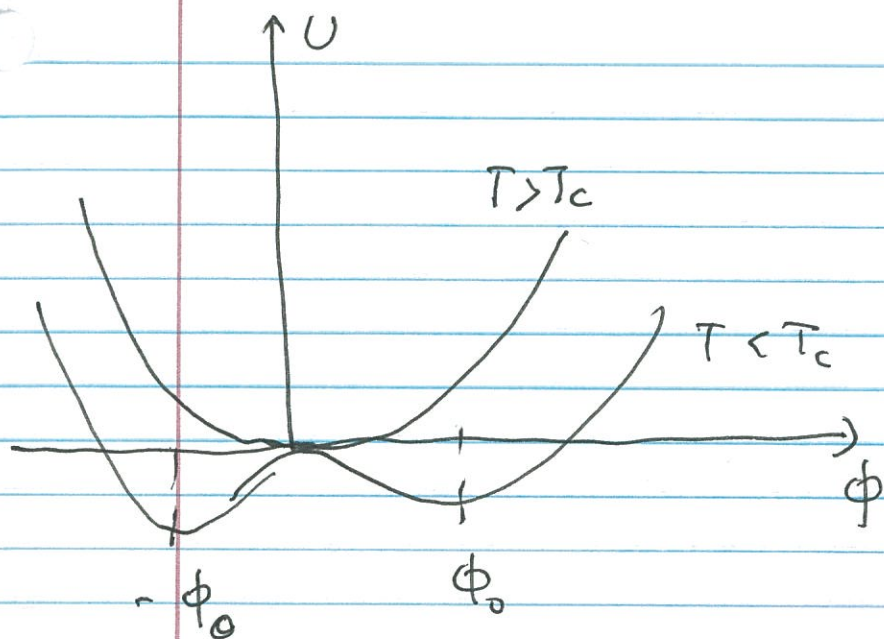
$$m^2 = a(T - T_c), \quad a, u > 0$$

(set the stiffness to 1)

$T > T_c \Rightarrow F$  has a minimum at  $\phi = 0$

$T < T_c \Rightarrow F$  has a minimum at  $|\phi| = \pm \phi_0$

$$\phi_0 = \sqrt{\frac{2a}{u} (T_c - T)}$$



This is a mean-field theory.

In general

$$Z = \int \mathcal{D}\phi e^{-S(\phi)}$$

path integral  $\int$  in  $D$  Euclidean ~~space~~ space-time.

$$S(\phi) = \int dx^D \left[ \frac{1}{2} (\partial_\mu \phi)^2 + \frac{m^2}{2} \phi^2 + \frac{y}{4} \phi^4 \right]$$

choose  $x_D$  to be imaginary time

$$x_D \rightarrow i x_0 \quad \leftarrow \text{time}$$

$$-S(\phi) \rightarrow i S(\phi)$$

where now

$$S(\phi) = \int dx^D \left[ \frac{1}{2} (\partial_0 \phi)^2 - \frac{1}{2} (\nabla \phi)^2 - \frac{m^2}{2} \phi^2 - \frac{u}{4} \phi^4 \right]$$

this is a relativistic scalar field in  $D$  dimensions!

Wilson developed the Renormalization Group to attack problems of this type

Close connection with the theory of phase transitions.

The problem in QFT is that perturbation theory in  $u$  is a series of divergent terms (Feynman diagrams)

RG solves this problem by tuning the theory to a fixed point associated with a phase transition where  $a \ll \xi \ll L$

$\Rightarrow \xi \rightarrow \infty$  at the transition  $\Leftrightarrow$  continuum.

$\uparrow$  cutoff (lattice spacing)       $\uparrow$  size

## Quantum Systems at finite temperature

System with a Hamiltonian  $H$  and an observable  $\phi$  (operators)

⊗ Thermal exp. value

$$\langle \phi \rangle = \frac{1}{Z} \text{tr}(\phi e^{-\beta H}) \quad ; \quad H|n\rangle = E_n|n\rangle$$

$$Z = \text{tr} e^{-\beta H} = \sum_n e^{-\beta E_n} \quad ; \quad \beta = \frac{1}{T}$$

$$\langle \phi \rangle = \frac{\sum_n \langle n | \phi | n \rangle e^{-\beta E_n}}{\sum_n e^{-\beta E_n}}$$

Def:  $\phi(\tau) = e^{-\tau H} \phi e^{+\tau H}$

$$\partial_\tau \phi(\tau) = [\phi(\tau), H] \quad \left( \frac{d}{d\tau} \right)$$

same as with q. evolution

$$\otimes \partial_t \phi(t) = i[\phi(t), H] \quad (\text{Heisenberg})$$

$$\phi(t) = e^{-itH} \phi e^{itH}$$

Since we compute a trace  $\Rightarrow$  the state at  $\tau=0$

and at  $\tau = \beta = \frac{1}{T}$  must be the same (and arbitrary)

$\Rightarrow \phi(\tau) = \phi(\tau + \beta)$  periodic  $\{$   
in imaginary time!

can expand in Fourier modes in  $\tau$

$$\Rightarrow \phi(\tau) = \sum_{n=-\infty}^{+\infty} e^{i\omega_n \tau} \phi(\omega_n)$$

periodicity  $\Rightarrow \omega_n \beta = 2\pi n \Leftrightarrow \omega_n = \frac{2\pi n}{\beta} = 2\pi T n$

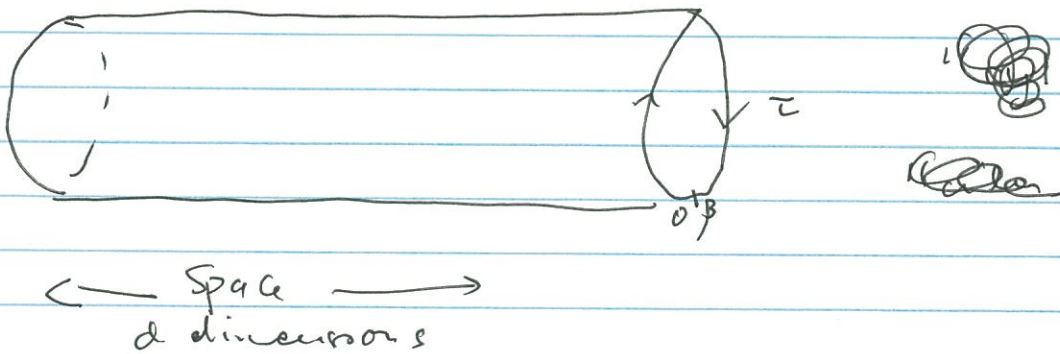
(Matsubara frequencies)

$\Rightarrow$  A quantum system at  $T > 0$  looks like a classical system on a circle with circumference  $\beta$ !

$\Rightarrow$  In the case of a quantum phase transition with order parameter  $\phi(x, t)$

$\Rightarrow \phi(x, t) \rightarrow \phi(x, \tau)$  ( $t \rightarrow i\tau$ )  
"Wick rotation"

and compactify the (imaginary) time axis



If  $\xi \gg \beta$  ("thermal wavelength")

we have a classical problem in d dimensions!



Why?

$$S(\phi) = \int_0^\beta dz \int dx^d \left( \frac{1}{2} (\partial_\tau \phi)^2 + \frac{1}{2} (\nabla \phi)^2 + \frac{m^2}{2} \phi^2 + \frac{g}{4} \phi^4 \right)$$

Expanding in modes

looks like a mass term!

$$S(\phi) = \frac{1}{\beta} \sum_n \int dx^d \left[ \frac{1}{2} \omega_n^2 |\phi(\omega_n, x)|^2 + \frac{1}{2} (\nabla \phi(\omega_n, x) \cdot \nabla \phi^*(\omega_n, x)) + \frac{m^2}{2} |\phi(\omega_n, x)|^2 + \text{same with } \phi^4 \right]$$

If  $n \neq 0 \Rightarrow \phi(\omega_n, x)$  has a mass  $m^2 + \omega_n^2$

$$(m = \frac{1}{\xi}) \quad \text{If } \xi \gg \frac{2}{\beta} \Rightarrow \omega_n \gg \frac{1}{\xi} = m$$

$\Rightarrow$  all modes become "heavy" and

only the  $\omega_n = 0$  mode survives



$$\phi(0, x) = \phi^*(0, x)$$

$$S(\phi) = \int dx^d \left( \frac{1}{2} (\nabla \phi(0, x))^2 + \frac{m^2}{2} \phi(0, x)^2 + \frac{g}{4} \phi(0, x)^4 \right)$$

same as the classical theory in  $d$  dimensions.